

# Evidences of Intra-group Orca Call Rate Modulation using a Small-aperture Four Hydrophone Array

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### *Conflict of interest statement*

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest

### *Author contribution statement*

All authors conceived the experiment.

H.G. and M.P. defined the protocol.

M.P. and H.G. have built and prepared the antenna.

All authors participated with the installation the antenna.

H.S., P.S. and M.P. participated in the visual data acquisition.

H.S. annotated the recordings with Audacity.

M.P. and H.G. computed the detection and the azimuth of the vocalizations.

M.P., H.G. and H.S. wrote the manuscript and produced the results.

All authors discussed the results and reviewed the manuscript.

### *Keywords*

ORCA, Call-rate, localization, hydrophone array, Intra-group communication

### *Abstract*

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Acoustic emissions are vital to orcas (*Orcinus orca*) to socialize, hunt, orient, and maintain spatial awareness. In order to better analyze their inter and intra-group communication, we propose a novel protocol that allows us to associate vocalizations with their emitter (individual/matriline). Our approach is based on a low cost small-aperture four hydrophone array fixed near the shore up to a few km away from the orcas' path, operated in conjunction with visual identification. It was conducted in the summer of 2019 off northern Vancouver Island, Canada, at the research station OrcaLab. A total of 722 calls were extracted and localized in azimuth via the hydrophone array from 3 case studies in which different events took place.

We then calculated the Call Rate for each individual/matriline in order to describe their acoustic activity.

Results show that Call Rate is modulated according to the distance of the signaler from the joint group, the presence of another group, and the anthropic pressure (nearby cruise ship). This shows evidence of intertwined calls. This protocol does not interfere with the animals and opens new perspectives towards inter and intra-group communication analysis.

### *Contribution to the field*

Our research presented in this manuscript approaches the open question of the intra and inter group communication of wild orcas. For this purpose, we designed a low cost protocol operated from the shore, thus ensuring that our observation does not induce perturbation to the animals' behavior (to the contrary of techniques used by most studies of the field such as d-tags or listening from a nearby boat). Besides the latter advantage, the data collection method described allows for advanced acoustic analysis such as source azimuth estimation, and in some cases attribution to individuals, rarely found in the literature of the field. Our paper presents the yielded novel results contributing to the common knowledge on orcas' communication. To conclude, compared to state of the art methods, our proposed approach improves the collected data and the possible following analysis while decreasing the induced observation bias. While the protocol is not specific to the orcas species, our demonstrated application contributes to the field with novel material for the study of the orca communication system.

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### *Ethics statements*

#### *Studies involving animal subjects*

Generated Statement: Ethical review and approval was not required for the animal study because This study was carried out on wild Orcas. We did not use boat or d-tags. We just put an acoustic antenna in front of the Orcalab research station..

#### *Studies involving human subjects*

Generated Statement: No human studies are presented in this manuscript.

#### *Inclusion of identifiable human data*

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### *Data availability statement*

Generated Statement: The datasets presented in this study can be found in online repositories. The names of the repository/repositories and accession number(s) can be found below: The original recordings samples are available from the 11th 30th, 31st of August, 4 channels, 192 kHz SR 16 bits (under copyright of the authors) at the address: [http://sabiody.univ-tln.fr/pub/orca\\_SR/](http://sabiody.univ-tln.fr/pub/orca_SR/). An animation of the last observation (31st of August) is available at the address: [http://sabiody.univ-tln.fr/media/30\\_08\\_Orcalab.mp4](http://sabiody.univ-tln.fr/media/30_08_Orcalab.mp4)..

In review

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## 2 ABSTRACT

3 Acoustic emissions are vital to orcas (*Orcinus orca*) to socialize, hunt, orient, and maintain spatial  
4 awareness. In order to better analyze their inter and intra-group communication, we propose a  
5 novel protocol that allows us to associate vocalizations with their emitter (individual/matriline).  
6 Our approach is based on a low cost small-aperture four hydrophone array fixed near the shore  
7 up to a few km away from the orcas' path, operated in conjunction with visual identification. It was  
8 conducted in the summer of 2019 off northern Vancouver Island, Canada, at the research station  
9 OrcaLab. A total of 722 calls were extracted and localized in azimuth via the hydrophone array  
10 from 3 case studies in which different events took place.

11 We then calculated the Call Rate for each individual/matriline in order to describe their acoustic  
12 activity. Results show that Call Rate is modulated according to the distance of the signaler from  
13 the joint group, the presence of another group, and the anthropic pressure (nearby cruise ship).  
14 This shows evidence of intertwined calls. This protocol does not interfere with the animals and  
15 opens new perspectives towards inter and intra-group communication analysis.

16 **Keywords:** Orca, Call-Rate, Localization, Hydrophone array, Intra-group communication

## 1 INTRODUCTION

17 Orca (*Orcinus orca*) is a marine mammal that inhabits oceans across globe. For orcas, the ability to emit  
18 sound, hear and vocalize is vital to performing activities such as communication, reproduction and hunting  
19 (Council et al., 2003; Burham et al., 2016). They can produce a wide variety of sounds including clicks,  
20 whistles, and pulsed calls (Ford, 1991). Pulsed calls or vocalizations are primordial for communication,  
21 and a pod can share between 7-17 different calls classed by types (Ford, 1989). Vocal activity also depends  
22 on the group's activity (Filatova et al., 2013). Numerous acoustic studies have already proven that pulsed  
23 vocalization plays an important role in the social life of the group (Bain, 1986; Filatova et al., 2013, 2010).  
24 This study focuses on the Northern Resident Killer Whale (NRKW) community composed of different pods  
25 and matriline (Bigg et al., 1990). Three distinct ecotypes of orcas live in the waters of British Columbia  
26 (BC) : Resident, Transient (Bigg's) and Offshore (Hoelzel and Dover, 1991). Transient orcas are marine

27 mammal-eaters whereas Residents hunt mostly salmon (Ford et al., 1998). Offshore orcas eat principally  
28 halibut (*Hippoglossus s.*) and shark (Jones, 2006).

29 There are two communities in coastal BC, the Southern Resident community and Northern Resident  
30 community (NRKW).

31 The NRKW is composed of 15 different pods and inhabits the waters between Vancouver Island and  
32 Southeast Alaska. Pods are groups of related orcas that frequently live and travel together. They also share  
33 the same acoustic dialect. The smallest unit of socialization within the pods is the matriline, which links  
34 individuals through maternal descent (Ford, 2009).

35 In order to grasp the richness of orcas' intra-group communication, it is necessary to analyze the acoustic  
36 production of each individual or matriline during natural activities in the wild. Most studies so far describe  
37 pod level call behavior (Miller et al., 2004; Foote et al., 2004). It has proved challenging to demonstrate  
38 vocalizations produced by an observed matriline or individual. Therefore it seems useful to put in place  
39 methods and protocols that associate vocalizations with an individual or matriline.

40 Many works have described the vocal exchanges of animals as the response of a receiver after the emission  
41 of a particular signal (Krebs et al., 1981; Janik, 2000). Some studies have already identified the signaler in  
42 a group of orcas (Miller et al., 2004), finding that the types and timing of calls are influenced by the calling  
43 behaviors of other members of the group.

44 Miller and Tyack (1998) used an array with 15 hydrophones towed from a boat. The results were compelling.  
45 Unfortunately, the deployment of a towed array is costly and is therefore not convenient for a long term  
46 natural experiment on free ranging whales. Orcas are easily disturbed by boats (Holt et al., 2009, 2021) and  
47 as we will see in our results below, the CR changed depending on whether there was a boat present or not.  
48 In this study using our localization method allowed us to accurately assign an azimuth to a surface entity.  
49 Once the individuals/matrilines were identified we used a quantitative variable to describe the vocal  
50 behavior of the orcas : the Call Rate (CR), equal to the number of vocalizations each 10 s for each  
51 individual/matriline. The CR is commonly used in underwater (Luís et al., 2014; Quick and Janik, 2008)  
52 and terrestrial (Reers and Jacot, 2011; Leonard et al., 2003) bioacoustics to quantitatively describe the  
53 emission of sound signals.

54 The objective of our research was to proceed with a novel protocol that allowed us to acoustically identify  
55 individual/matriline vocalizations in order to study the CR under certain conditions.

56 This was done by using a low-cost hydrophone array, easily deployed in various sites, equipped with  
57 high quality call separation. We employed a novel audio-visual protocol based on the visual identification  
58 of orcas from the shore and a four-hydrophone array submerged nearby offshore to separate each of the  
59 calls being emitted several hundred meters away.

60 In our study we will present 3 different case studies to validate our protocol.

61 The section Material and Methods, presents the recording station and annotation methods. Then, we explain  
62 the computing of the azimuth of the calls, and the CR analysis. We applied this protocol on 722 calls taken  
63 from 3 cases in which different events took place. Finally, we summarize the results and discuss them.

## 2 MATERIAL AND METHODS

64 Over the past 35 years, the non-governmental organization OrcaLab (OL), has developed and maintained a  
65 multi-hydrophone recording system around Hanson Island (northern Vancouver Island), Canada to study  
66 whales. Throughout the year, OL consistently observes and records orca behaviors and vocalizations.  
67 To increase the knowledge surrounding orca communication, our team designed a small aperture four-  
68 hydrophone array at a high sampling rate (4\*192 kHz 16 bits). One goal was to record highly defined  
69 acoustic data from orcas passing in front of OL and connect these data to visual observations made from

70 land at OL. The goal was to estimate the Time Delays of Arrival (TDoA) of the calls, thus their azimuth by  
71 a simple linear solver, and to assess a multi-modal label for each call : the type of call, azimuth and identity  
72 of the emitting orca.  
73 Finally, we studied the CR of the emitting individual/matriline.

## 74 2.1 Acoustic data acquisition

75 The four-hydrophone array is a tetrahedral portable antenna of 12 kg (Fig.1) that uses four SQ26-01  
76 hydrophones with a high frequency sensibility between 10 Hz to 50 kHz. The flat frequency response of  
77 the hydrophones is available in Supplementary (Fig.S1). The maximum aperture of this array is 77 cm.  
78 It includes a novel low-power high-resolution autonomous sound card (Supplementary, Fig.S2), called  
79 JASON (Barchasz et al., 2020), and the recording capability of up to 5 uncompressed channels at a sampling  
80 rate of up to 2 MHz, 16 bits. It can be accompanied by a low power acoustic trigger (Fourniol et al., 2018),  
81 but this was not necessary at OL because JASON was switched ON/OFF manually from OL when orcas  
82 were seen.

83 In this study we recorded at a 192 kHz sampling rate on four channels (Data Availability Statement: A  
84 recordings samples are available from the 11<sup>th</sup>, 30<sup>th</sup>, 31<sup>th</sup> of August, 4 channels, 192 kHz SR).

85 We over-sampled the bandwidth of the hydrophones (50 kHz) to increase the precision of the estimated  
86 angle of arrival and to increase the efficiency of separating the calls individual by individual. The antenna  
87 was placed at a depth of 23 m pointing East, which was determined using a compass. Due to its weight and  
88 an additional 10 kg of ballast there was no concern regarding its stability, even during strong currents (> 1  
89 m/s).

90 The device was powered from the shore through 75 m of 12 V cable connected to OL. The ability to power  
91 the device from land allowed us to limit our recording to when orcas passed in front of OL. Later, we  
92 could correlate the acoustic data with visual observations from OL. The antenna was taken out of the water  
93 when the 512 Go uSD storage was full, when we reached 74 hours of recording, or earlier in order to avoid  
94 obstacles, such as sea urchins, from settling on the antenna and interfering with the recording.

95 The recording sessions took place from the 20<sup>th</sup> of July to the 1<sup>st</sup> of August, the 4<sup>th</sup> of August to the 22<sup>nd</sup>  
96 of August, and the 23<sup>rd</sup> of August to the 15<sup>th</sup> of September 2019. In total, we recorded 42 vocal passages  
97 that included multiple orcas.

## 98 2.2 Visual data acquisition

99 When orcas were spotted in BP (Blackney Pass) located in front of OL. The antenna was powered on by  
100 a simple on/off button from OL. It was turned off when the orcas were no longer visible (Fig.2). During  
101 each passing of the orcas, 5 observers at OL worked together to identify the groups and individuals present.  
102 This task was always carried out by the same people :

- 103 • Person 1 (H.S.) : Real-time identification of groups and individuals (when possible) with a  
104 dedicated 'Big Eyes' binocular (Product, 2014),
- 105 • Person 2 (P.S.) : Using spotting scope to help H.S. for identifications (Bushnell Spacemaster  
106 78-1800 with, zoom 15x45),
- 107 • Person 3 (M.P.) : Recording of Helena's real time comments and azimuths of each  
108 individual/matriline associated with the visual reference points in BP. Each site was assigned  
109 a precise azimuth with a compass (eg. "Green House" = 15 degrees). At every surfacing the  
110 azimuth was recorded,
- 111 • Person 4 (A.Doohan) : Recording of "Big Eyes" positions and photographing individuals,
- 112 • Person 5 (E.Vierling) : photographing individuals.

113 If several groups were present at different azimuths, we used a second "Big Eyes" to make the  
114 identifications. Once the passage was finished, the whole team confirmed the presence of the individuals  
115 using the photographs and the photo-identification catalogue (Towers, 2015). The map of viewing and  
116 listening ranges is available in Supplementary (Fig.S3) The listening range was estimated with the Orcalab  
117 expert and the actual presence of hydrophone on site 24 hours a day (Poupard et al., 2019a).

### 118 2.3 Annotation of the recordings

119 Manual annotations of the vocalizations were conducted with Audacity to provide waveform and  
120 spectrogram visualization (Audacity-Team, 1999). Three recordings were precisely annotated in which  
121 calls were identified and correlated. An example of 13 seconds of annotations (Fig.S4) and the list of the  
122 calls annotated in the experiments (Fig.S5) are available in Supplementary.

### 123 2.4 Azimuth estimation of the orca calls using the hydrophone array

124 As described in the previous section, each vocalization was detected and annotated. From the four  
125 channels we computed the three independent Time Delay of Arrival (TDoA) by inter-correlations :  $\tau_{21}, \tau_{31},$   
126  $\tau_{41}$ . The high sampling rate (192 kHz) allowed us to measure precise TDoAs. As the source is at relatively  
127 far range, we estimate its azimuths with a linear solver presented in (Bénard-Caudal et al., 2010). Range  
128 estimation of the calls was possible in certain conditions (Glotin et al., 2008; Poupard et al., 2019c), but  
129 due to surface acoustic effects, we did not estimate the range of orcas in our protocol. However, we show  
130 that azimuth estimations are accurate for this study. Then, we joined the azimuth estimates of each call to  
131 every identified orca using the visual positions noted by OL observers. We associated individuals or groups  
132 of orcas with a vocalization track by using a photo-identification catalogue (Towers, 2015). When orcas  
133 were very close to each other, it was difficult to associate a call with an individual, but in some cases we  
134 were able to associate vocalizations with a specific male.

### 135 Calculation of the Call Rate and statistical tests

136 Once the individual/matriline were identified we used a quantitative variable : the CR by counting the  
137 number of vocalizations every 10 s for each individual/matriline. Thus, we divided the tracks into different  
138 periods (80 s, or  $5 \times 80 = 400$  s), and we estimated the mean and variance of the CR over the non overlapping  
139 10 s intervals in each period. Then we performed statistical tests between periods and individual/matriline.  
140 Accuracy was not normally distributed as assessed by Shapiro-Wilk's test ( $p$ -value  $< 0.05$ ). Since our data  
141 did not follow a normal distribution, we performed a Kruskal-Wallis Test (Breslow, 1970) followed by a  
142 post Hoc test of Dunn-Bonferroni (Dunn, 1964). All the data processing is summarised in Fig.3.

## 3 RESULTS

143 The sessions of this summer totaled 42 passages of orcas with vocalizations and 29 passages of orcas  
144 without vocalizations (Tab.1).

145 Within the 71 passages, 57 passages were during daytime and 29 passages included vocalizations. We are  
146 able to isolate calls for three individuals (three males), because the males were somewhat removed from  
147 the group (minimum 50m). A total of 85 vocalizations were produced by these three males (Tab.2).

148 We focused on three particular events : 11<sup>th</sup>, 30<sup>th</sup> and 31<sup>st</sup> of August. See Fig.S5 in Supplementary for the  
149 list of the heard and annotated vocalizations. The color of each call corresponds to the color in the scatter  
150 plot in Fig.5,8,9,12.

### 151 3.1 First observation (11<sup>th</sup> off August)

152 The first case study was on the 11<sup>th</sup> of August, when a group made up of the A25, A50, A54, and I04  
153 matriline (mat.) came through (BP) at 4:46pm.

154 During this passage, the orcas were calm, and moved at a moderate swim velocity, but at 5:08:20pm  
155 a cruise ship (Fig.4) passed in front (OL) (traveling in the same direction as the orcas). Once the boat  
156 was present, the group dispersed and several orcas surfed in its wake. This made individual identification  
157 difficult.

158 The Fig.4 presents the trajectories of a different group during the 11<sup>th</sup> of August and the associated pictures.  
159 We noticed that at the start of the trajectory, the orcas were gathered in a single group (picture on the left).  
160 Then different smaller groups formed and some orcas were on the right and left of the boat (picture 2 and  
161 3). During the end of the track some individuals "surfing" behind the ship (last picture, Fig.4). This visual  
162 observation confirmed our acoustic position.

163 The Fig.5A illustrates the passage of this group. Each point represents a call placed in azimuth; the curves  
164 are the visual track of the individuals. Each visual observation matches the computed azimuth of each call  
165 (green dots). We thus validated the acoustic azimuth estimations.

166 During the first part (until 5:15pm) of the track, it was possible to recognize eight individuals from A05,  
167 A01 and I15 pods (Fig.5A). After the passage of the boat, the orcas split up so we lost the identification of  
168 four whales. From 5:16pm to 5:30pm we had the identifications of A61 (mat.A25), A72 (mat. A50), A108  
169 (mat. A50) and I76 (mat. I04). Visual observations were supplemented by acoustic analyses. From 4:56pm  
170 to 5:10pm the orcas were together in BP traveling at a slow speed. When the cruise ship arrived, the whales  
171 started to accelerate and followed the boat until azimuth 100 °.

172 We can see this fast track visually and acoustically from 5:06pm to 5:15pm. During the last part of the track,  
173 from 5:23pm to 5:33pm, we observed that some orcas continued their trajectory while four individuals  
174 (from three different matriline) turned around : A61 (mat.A25), A72 (mat.A50), A108 (mat.A50) and I76  
175 (mat.I04). The male A61 (orange) is high in azimuth. Individuals A72, A108 and I76 had been traveling  
176 together for ten minutes.

177 We were able to extract the types of vocalizations (Fig.5B). We divided this track in 6 parts. The first and  
178 second parts were before the arrival of the cruise ship when the orcas traveled slowly and emitted call types  
179 N25, N04, N02, N09iii. The third part happened when the boat came through BP and the orcas followed it  
180 (surfing) and produced only N04, N47 calls (1700 to 2000 s). At the end of the boat passage (period 4), they  
181 produced a lot of N04 and N03 calls, and some of them turned around. During period 5 and 6, from 2700  
182 to 3200 s, the track from 90° to 120° is composed of N04, N47 calls. This is when the individuals, A72,  
183 A108 and I76 were traveling very close to each other. According to these observations, we can suppose  
184 that the boat not only had an influence on the velocity of the orcas, but also on the type of calls used.

185 To determine this, we counted the number of calls in order to check if the boat had an influence on the CR.  
186 Fig.6 shows the result associated with the statistical tests. The CR means don't follow a normal distribution  
187 (Shapiro test, p-value=0,0003), so the measure of the differences between the medians were calculated  
188 (Kruskal-Wallis Test, p-value=4.10e-05). After this the Dunn-Bonferonni test was done and the significant  
189 differences are showed in Fig.6.

190 During the first two periods (before the arrival of the boat), the density of calls is low. When the boat comes  
191 through BP (period 3), the density of calls started to increase until the boat leaves.

192 In fact, during period 4 (corresponding to the end of the passage of the boat) the CR is higher and  
193 significantly different from the first 2 periods.

194 When the orcas turned around there were not as many calls (period 5). Then the density increased again  
195 when A108, I72 and I76 traveled together (period 6). This shows that the cruise ship had an influence on  
196 the type of calls as well as the CR.

### 197 **3.2 Second observation (30<sup>th</sup> of August)**

198 The second case study was on the 30<sup>th</sup> of August in which the A42 and A25 matriline of the A05 pod  
199 and the A54 matriline of the A01 pod came through BP together. Fig.7 represents the passage of the 30<sup>st</sup>  
200 of August in front of OL with examples of four pictures taken from OL. The visual observations confirmed  
201 that the group was very compact and traveled quickly (less than 6 minutes to traverse BP).

202 Fig.8A illustrates the passage of this group. Each point represents a call placed in azimuth; the blue curve  
203 is the visual track of the group. Each visual observation (blue curve) matches the computed azimuth of  
204 each call. We thus validated the acoustic azimuth estimations.

205 For each call, we extracted the type of call and plotted it according to the azimuth of the orcas (Fig.8B).

206 The track is quite compact, and the group (A42s, A54s and A25s) traveled north very quickly. This group  
207 was composed of two different pods (A05 and A01). During this track, there were a lot of different calls  
208 which eventually subsided into the shared N03 call type. N03 could play a role in communication between  
209 these matrilines, because at the end of the track, individuals emitted only this call. It may indicate a change  
210 of behavior, i.e slowing down during travel.

211 Focusing on the track, we see that the A01s and A05s traveled together; attributed the calls to a pod  
212 following (Ford et al., 1987). The Fig.9 shows the trajectory of A01s, A05s and their emitted calls. We  
213 divided the track into four parts and measured the CR in these periods.

214 We measured the CR for the A01s and the A05s. The CR means don't follow a normal distribution  
215 (Shapiro test, p-value=0,0026), so the differences between the medians were calculated (Kruskal-Wallis  
216 Test, p-value=1.10e-05).

217 After this, Post-hoc testing was used to evaluate differences between each distribution pair (Dunn-  
218 Bonferroni tests) (Fig.10).

219 This graph shows significant differences between time periods and pods. During periods 1 and 2, the CR  
220 is higher for the A01 pod, then this is reversed for periods 3 and 4. During the last period (4), the CR for  
221 A01 pod is different from periods 1 and 2.

222 When the density of calls of A01s increases, the CR of the A05s decreases (Fig.10), but the CR for the two  
223 pods (A05 and A01), during the same period are not statistically different.

224 This result suggests that when these 2 pods travel together, A05s could emit while A01s are silent, and vice  
225 versa. Hence, they do not overlap their calls, possibly maximizing their communication efficiency.

226 This case study showed us that when individuals were too close together it was difficult to identify  
227 matrilines or individuals by azimuth. But we were able to study the CR thanks to the acoustic identification  
228 of the different pods present.

### 229 **3.3 Third observation (31<sup>st</sup> of August)**

230 We focused here on the 31<sup>st</sup> of August, when a large group group made up of I16s, I65s, I04s and I27s  
231 came through BP. The majority of the four matrilines traveled together; the male I76 (mat.I04), traveled by  
232 himself behind the large composite group, eventually joining them at the end of the track.

233 Fig.11 shows the visual trajectory photographed from OL and the representation of the trajectory in BP.  
234 The orange curve represents the large group and the white curve the male I76. Visual observations allowed  
235 us to validate our azimuthal positions from the acoustic antenna.

236 In the figure 12A, each point represents a vocalization placed by the estimated acoustic azimuth. The blue  
237 and orange curves are the interpolated visual azimuths of the male I76 and the large group, respectively.  
238 We see that it is possible to assign vocalizations either to the individual male or to the larger group because

239 each acoustic position corresponds to a visual position. This result allowed us to isolate 24 calls from the  
240 male I76.

241 From the manual annotations, we made correlations between the azimuth and the type of calls for the large  
242 group and I76.

243 For these recordings, seven different calls were produced (Fig.12B). Each point represents a call with its  
244 type in color. We see that the right track (I76) is composed of N48 (green), N25 (pink) and N23i (brown).  
245 Thus, we could assume that when the male was alone, he made a combination of two calls, N48 and N25,  
246 and when he was close enough to his group, he produced N23i calls to which his group answered with the  
247 same type. The large group produced all types of calls, including type N23i (brown) at the end of the track.  
248 We can see that the N23i type call is produced just before and after I76 merged with the larger group.

249 We measured the CR for the group and the male I76, in order to see if there were different periods of call  
250 exchanges. To do this, the number of vocalizations was counted every 10 s and its average was calculated  
251 for each period.

252 The means don't follow a normal distribution (Shapiro test,  $p$ -value=0,0043), so the measure of the  
253 differences between the medians was calculated (Kruskal-Wallis Test,  $p$ -value=0,0022).

254 So,  $p$ -value  $\leq \alpha$  : The differences between some of the medians are statistically significant. Post-hoc  
255 testing was used to evaluate differences between each distribution pair (Dunn-Bonferroni tests).

256 The result is showed in Fig 13. In the first period, only the large group was acoustically active compared to  
257 the male I76 (Post-hoc Bonferroni test,  $p < 0.00006$ ). During the second and third periods, the group and  
258 I76 had the same CR statistically. We observed that when the male started calling (period 2) the group did  
259 not call (compared to period 1). And during the third period (meeting) the CR of the group and I76 both  
260 increased.

#### 4 DISCUSSION

261 Orcas prefer group life, and in fact, it could have a variety of beneficial functions : localization of food,  
262 reproduction, socialization and traveling. In order to coordinate their activities, maintain group cohesion,  
263 or recognize members in the group, orcas need to communicate (Grebner et al., 2008).

264 Whistles have been shown to be important during socialization (Thomsen et al., 2002). Echolocation  
265 clicks are used during hunting and to aid navigation. Discrete calls are often used during travel, hunting and  
266 socializing. The CR is different according to behavioral categories and particular events. In fact Grebner  
267 (2009) proved that orcas can initiate contact using discrete pulsed calls during pre-joining events.

268 Our results show that we can track individual calls of wild orcas in their natural habitat in order to  
269 demonstrate CR modulations according to various parameters including, the presence of boats, and the  
270 relative position of an individual versus a group of whales. To accomplish this we analyzed three different  
271 natural scenes or observations.

272 The CR increased significantly at the end of the passage of the boat and when the boat moved away,  
273 whereas the CR was stable before the arrival of the boat.

274 Anthropogenic noise has an influence on orca emissions. Holt et al. (2009) has proven that orcas increase  
275 their call amplitude in response to vessel noise. Our study complements this observation, showing that  
276 orcas change both their trajectory and CR when a large vessel is present.

277 Recently, Holt et al. (2021) showed that the hunting behavior of this population was affected by the close  
278 presence of boats, and that females and males respond differently to nearby vessels. These results are  
279 coherent with our study which shows different behaviors of orcas when they face the presence of ferry :  
280 surfing or taking distance and increasing CR.

281 In fact, both large (e.g. cruise ship or tanker) and small vessels are very noisy and produce noise in the

282 10-1000 Hz range. The propagation in water is important, resulting in frequencies that could span more  
283 than 5 kHz. There is an overlap between the vocalizations emitted for communication and anthropogenic  
284 noise. Cetaceans may have different options to adapt : they can maintain the communication by increasing  
285 the call amplitude, Lombard effect (Noad et al., 2012), they can increase the duration of the signal (Foote  
286 et al., 2004), or they can change the type of their calls or their CR to avoid the overlap with the boat noise  
287 (Castellote et al., 2012; Poupard et al., 2019b).

288 Here, it seems we have an example of the last option.

289 In the second observation (30<sup>th</sup> of August), one big group passed in front of OL, composed of A05 pod  
290 (mat. A42 and A25), and the A01 pod (mat. A54). The tracks of these groups were interesting : two pods  
291 traveled together and exchanged a lot of calls for several minutes and at the end of the trajectory a lot of  
292 N03 calls were emitted. In Ford (1989) it was realized that N03 calls are produced after periods of social  
293 activity or high-arousal contexts like active foraging. Moreover, the lower source level of the N03 call  
294 makes this call more suitable during close range vocal exchanges (Miller, 2006).

295 We found that pods emit calls in turns, alternating low and high CR when traveling together and the  
296 track is split in different parts in time (Fig.9), or when there are particular combinations of calls. Our study  
297 shows that individuals from different pods (A05 and A01) can communicate and travel together. Resident  
298 orcas are organized in a fission-fusion society that is flexible in time and space.

299 We propose two hypotheses : first, when more than one pod travels closely and one pod begins  
300 communicating, individuals from the other pod will try to be silent in order to facilitate the communication  
301 between individuals of the first pod.

302 Second, and following (Krebs et al., 1981), we can assume the existence of a veritable interaction between  
303 these two pods. For example, when the first pod vocalizes, then a 2<sup>nd</sup> pod may respond to them (and  
304 vice-versa).

305 According to the second hypothesis, the type of call provides particular information to the original  
306 signaler : the combination of particular calls from A05 depends on the emission of particular A01 calls  
307 (Fig.9).

308 In the third observation, on the 31<sup>th</sup> of August, a big group made up of the I16, I65, I04 and I27 matriline  
309 came through BP. Behind them was a lone male orca, I76 (mat. I04). This scene is noteworthy because the  
310 male traveled far behind his group and made specific types of calls. When he was far from his group he  
311 made a combination of N25 and N48 calls, but once he approached his group, he vocalized with the N23i  
312 call type and his group answered with the same type of call. We conclude that any modulations of call  
313 types could improve the ability of orcas to give information on their relative positions and help to localize  
314 each other.

315 Furthermore, there may be a greater need for redundancy in communication signals in large groups as  
316 is typical of common dolphins, requiring faster signal production to facilitate inter-individual or group  
317 cohesion. Our results have complemented the study of (Grebner, 2009) who highlighted the production of  
318 calls before the meeting of two groups. Orcas could use particular types of calls in order to improve good  
319 propagation in the water and facilitate the reception of the signal.

320 When somewhat isolated, the male made a particular type of call and modulated his CR (Fig.12). As he got  
321 closer to his group he increased his CR (period 3) and emitted other call types in the direction of his group  
322 (Miller, 2002) presumably in order to facilitate communication. Additionally, the group decreased its CR.  
323 Such changes in the CR may occur when individuals are separated from their group. A similar pattern is  
324 shown for other species : Bottlenose dolphins (Cook et al., 2004), Spider monkeys (Ramos-Fernández,  
325 2005) and Chimpanzees (Mitani and Nishida, 1993).

326 To sum up, this study of nearly one hundred calls suggests that intra-group acoustic communication in  
327 orcas may depend on the :

- 328 • distance between the group and the individual,
- 329 • acoustic emissions of another pod,
- 330 • presence of a boat,
- 331 • physical behavior of individuals (surfing behind a boat).

332 Our shore based study looked at orca communication in the wild at a unique level of precision.  
333 Other shore based fixed hydrophone systems enabled the detection and tracking of orcas from the same  
334 population (Poupard et al., 2019a; Bergler et al., 2019; Best et al., 2020) but did not succeed in associating  
335 individuals with calls, or determine precise individual pattern variations of communication between groups.  
336 This work does not pretend to provide all explanations for the type of calls, but rather the CR. Results offer  
337 evidence that this low cost and easy to deploy protocol, brings to light relevant features of CR of these  
338 complex communication systems.

## CONFLICT OF INTEREST STATEMENT

339 The authors declare that the research was conducted in the absence of any commercial or financial  
340 relationships that could be construed as a potential conflict of interest.

## AUTHOR CONTRIBUTIONS

341 All authors conceived the experiment.

342 H.G. and M.P. defined the protocol.

343 M.P. and H.G. have built and prepared the antenna.

344 All authors participated with the installation the antenna.

345 H.S., P.S. and M.P. participated in the visual data acquisition.

346 H.S. annotated the recordings with Audacity.

347 M.P. and H.G. computed the detection and the azimuth of the vocalizations.

348 M.P., H.G. and H.S. wrote the manuscript and produced the results.

349 All authors discussed the results and reviewed the manuscript.

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## SUPPLEMENTAL DATA

358 The frequency response of the hydrophone SQ26 (Fig.S1), the JASON CARD (Fig.S2), the range map  
359 of the antenna (Fig.S3), an example of annotation (Fig.S4), and the list of all calls (Fig.S5), are in the  
360 Supplementary Material.

## DATA AVAILABILITY STATEMENT

361 The original recordings samples are available from the 11<sup>th</sup> 30<sup>th</sup>, 31<sup>st</sup> of August, 4 channels, 192 kHz  
362 SR 16 bits (under copyright of the authors) at the address: [http://sabiiod.univ-tln.fr/pub/  
363 orca\\_SR/](http://sabiiod.univ-tln.fr/pub/orca_SR/).

364 An animation of the last observation (31<sup>st</sup> of August) is available at the address: [http://sabiiod.  
365 univ-tln.fr/media/31\\_08\\_Orcalab.mp4](http://sabiiod.univ-tln.fr/media/31_08_Orcalab.mp4).

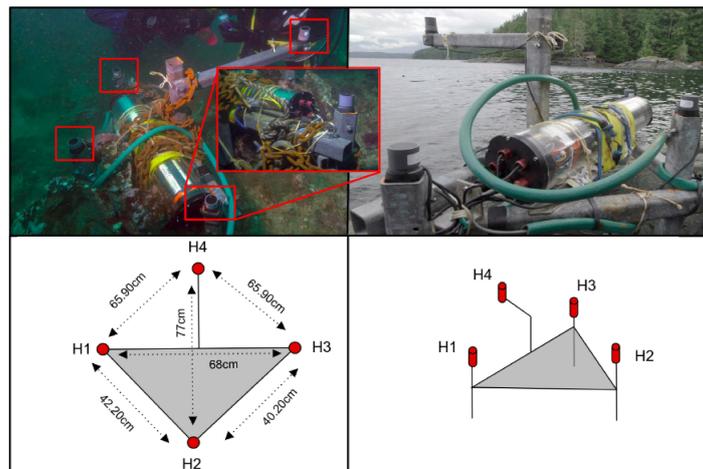
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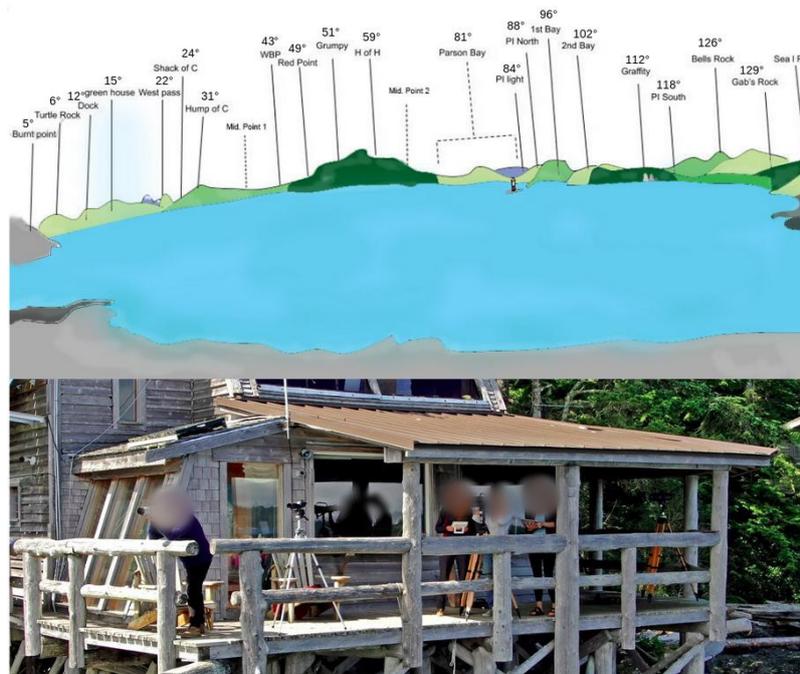
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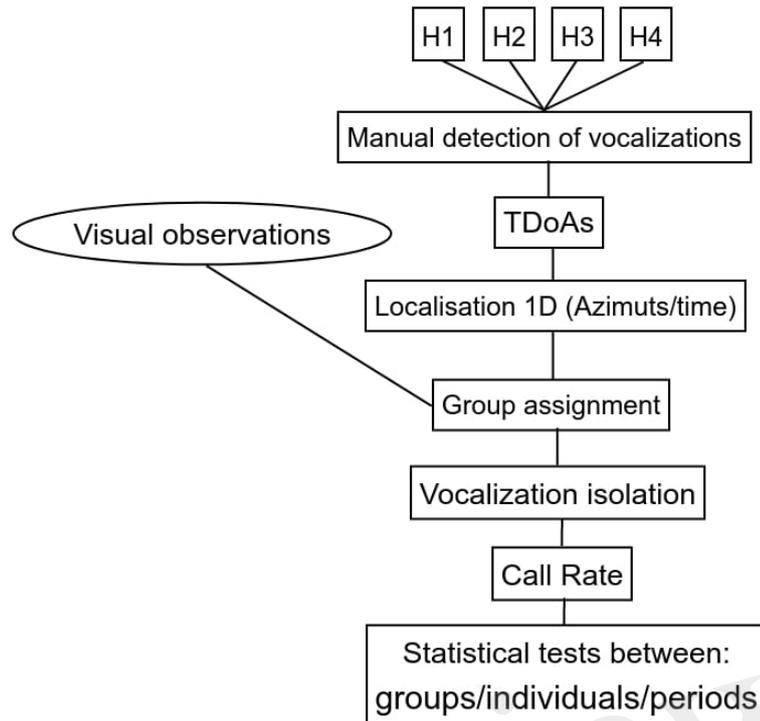
FIGURE CAPTIONS



**Figure 1.** Top : the four-hydrophone antenna, with the 12 V (green) cable connected into OL. Bottom : diagram of the antenna and its inter-hydrophone distances.



**Figure 2.** (Top) Representation of the view of BP from OL. Each site was assigned a precise azimuth using a compass (ex : "Pi Light" = 84 degrees.). (Bottom) Picture of the visual protocol.



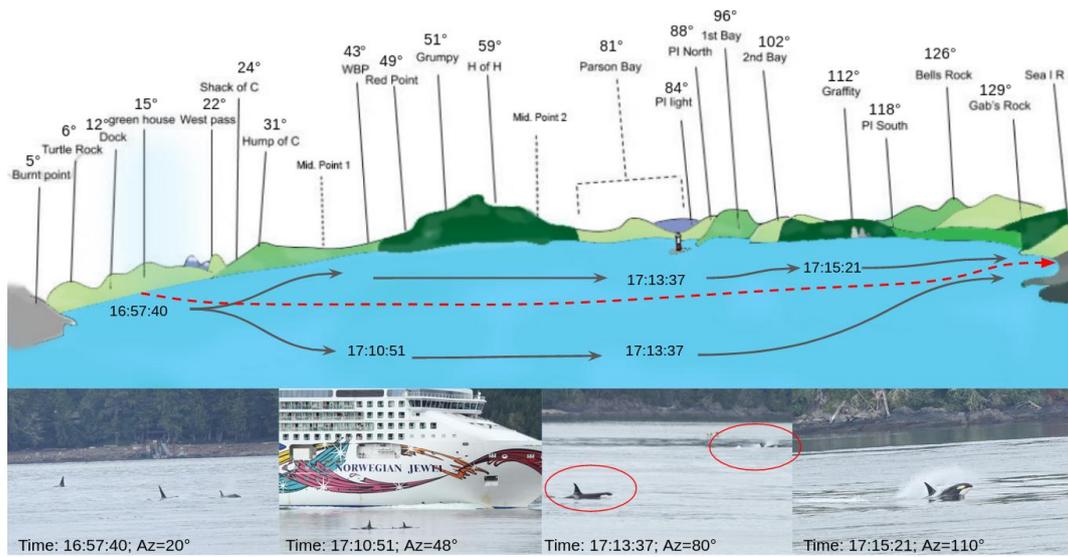
**Figure 3.** Synopsis of the protocol.

**Table 1.** Summary of the records of all of the sessions.

Session	Start (dd/mm)	End (dd/mm)	Cumul. Time (hh:mm)	Cumul. Day	Vocal Passage	Non Vocal Passage	Total of the passage
1	20/07	01/08	17:40	11	10	9	19
2	04/08	22/08	17:30	17	12	6	18
3	23/08	15/09	17:40	11	19	15	34
Total			52:50	39	42	29	71

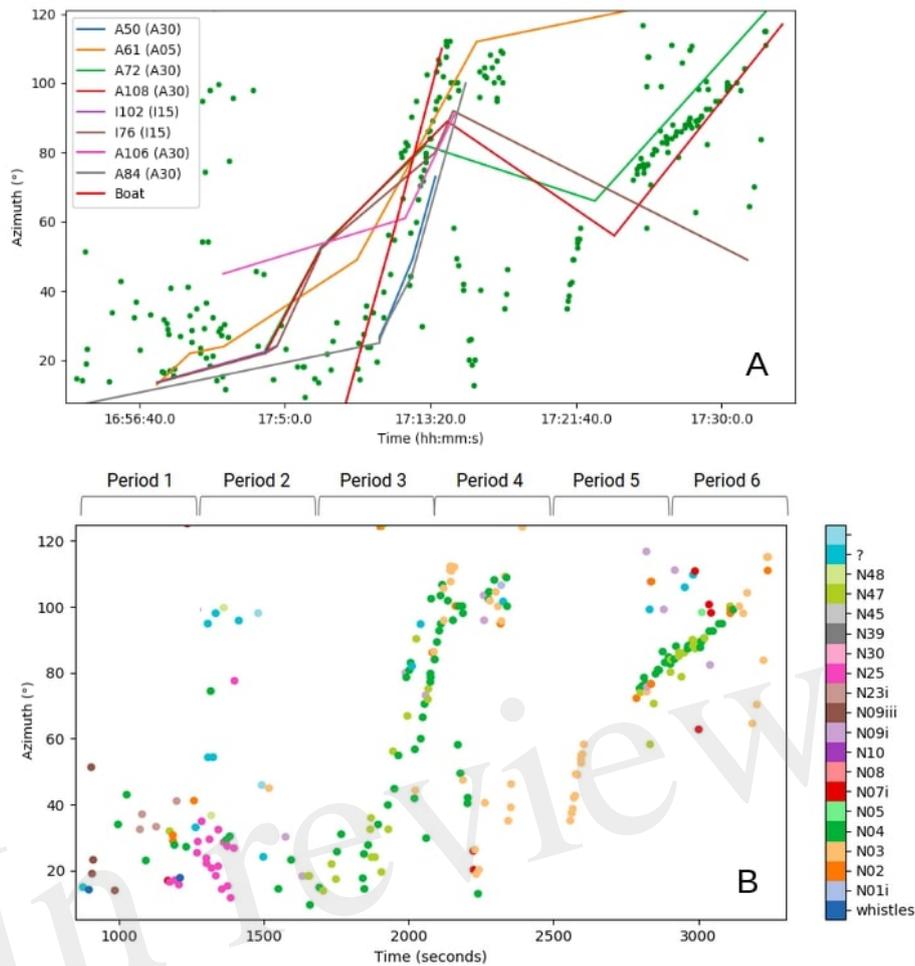
**Table 2.** Summary of the 3 individuals for which we isolated calls. A66 appeared twice.

Date	Pod Id.	Matriline Id.	Individual Id.	Call Count (85 in Tot.)
04/09	A05	A42	A66	16
31/08	I11	I4	I76	24
22/07	A05	A23	A60	19
30/08	A05	A42	A66	26

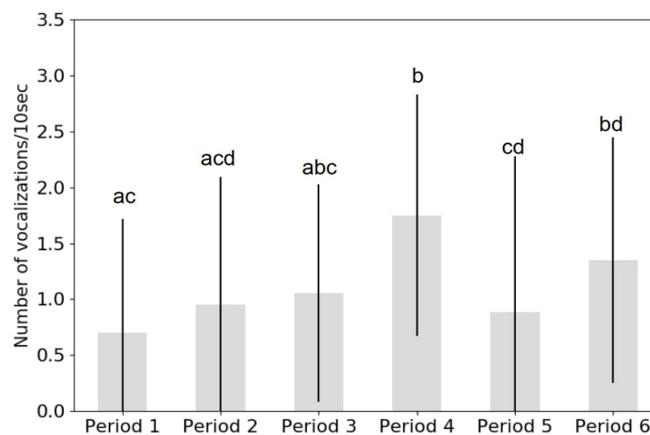


**Figure 4.** Graph of the passage of the 11<sup>th</sup> of August. Top : representation of the view of BP from OL with the visual position of the group. The red line is the boat trajectory. Bottom : pictures taken from OL deck.

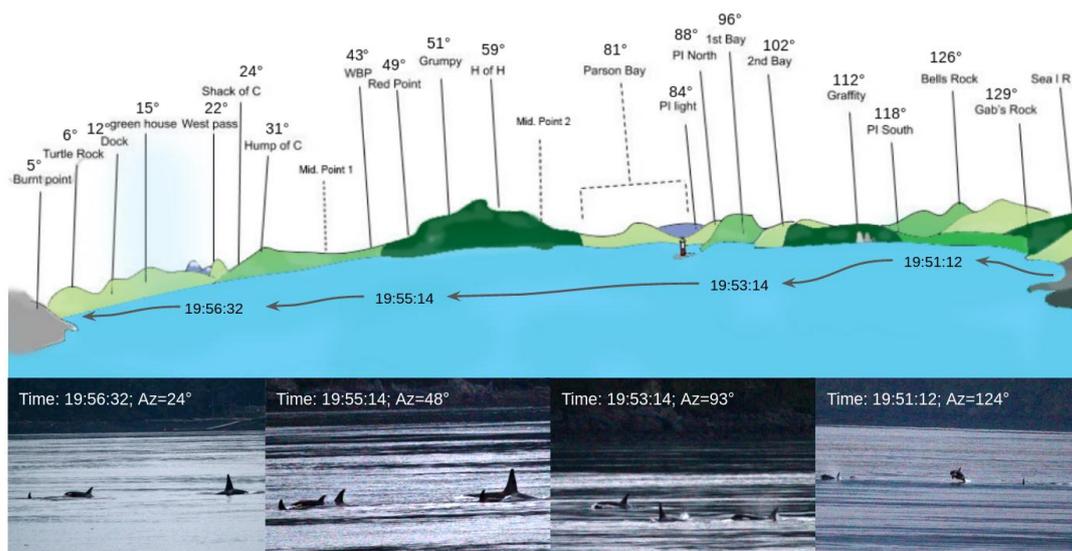
In review



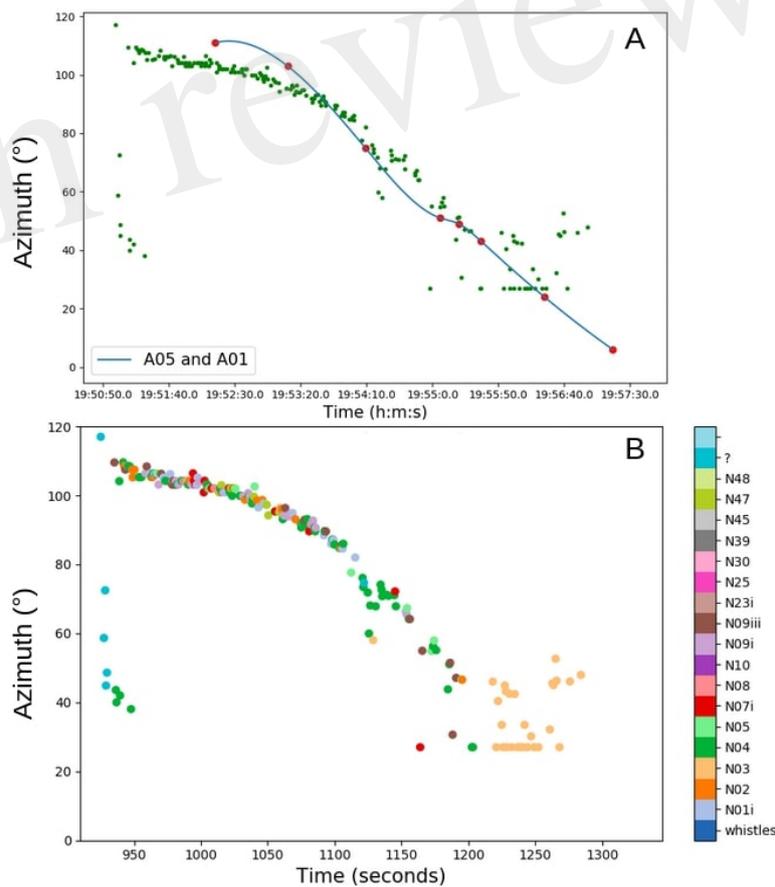
**Figure 5.** **A:**Graph of the passage of the 11<sup>th</sup> of August. Each green point represents a vocalization placed in azimuth. The different curves are the positions of each individual visually detected. The red line is the visual trajectory of the boat. **B:** Graph of the passage of the 11<sup>th</sup> of August. Each point represents a vocalization placed in azimuth. Each color represents a type of call. The most common types are N04, N03, N48.



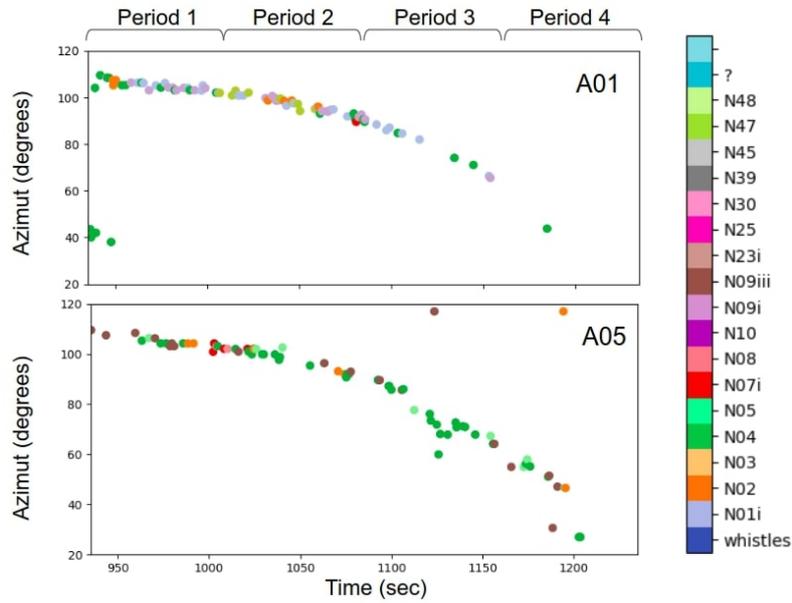
**Figure 6.** Graph of the CR of the 11<sup>st</sup> of August for each period (1 to 6).



**Figure 7.** Graph of the passage of the 30<sup>th</sup> of August. Top : representation of the view of BP from OL with the visual position of the group. Bottom : pictures taken from OL deck.

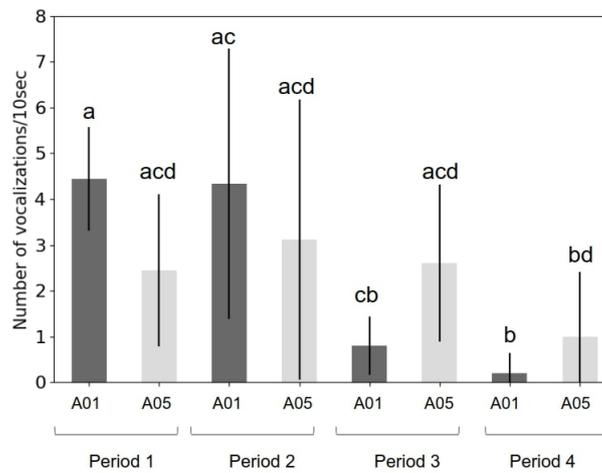


**Figure 8.** **A:** Graph of the passage of the 30<sup>th</sup> of August. Each green point represents a vocalization placed in azimuth. The blue curve is the interpolation of the A05 and A01 visual azimuth estimations. **B:** Graph of the passage of the 30<sup>th</sup> of August. Each point represents a vocalization placed in azimuth. Each color represents a type of call.

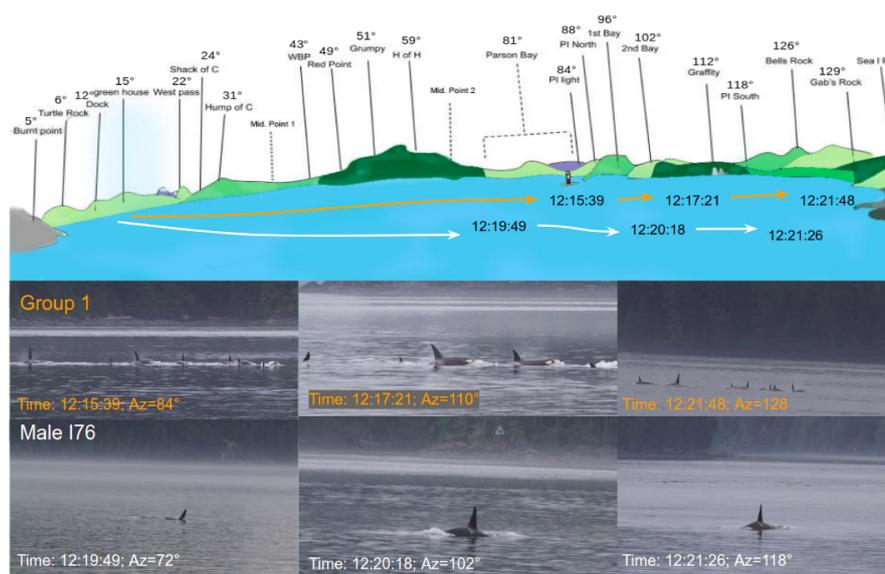


**Figure 9.** Graph of the passages of the 30<sup>th</sup> of August. Each point represents a vocalization placed in azimuth. Each color represents a type of call. Top : trajectory of the A01s, Bottom : trajectory of the A05s.

In review

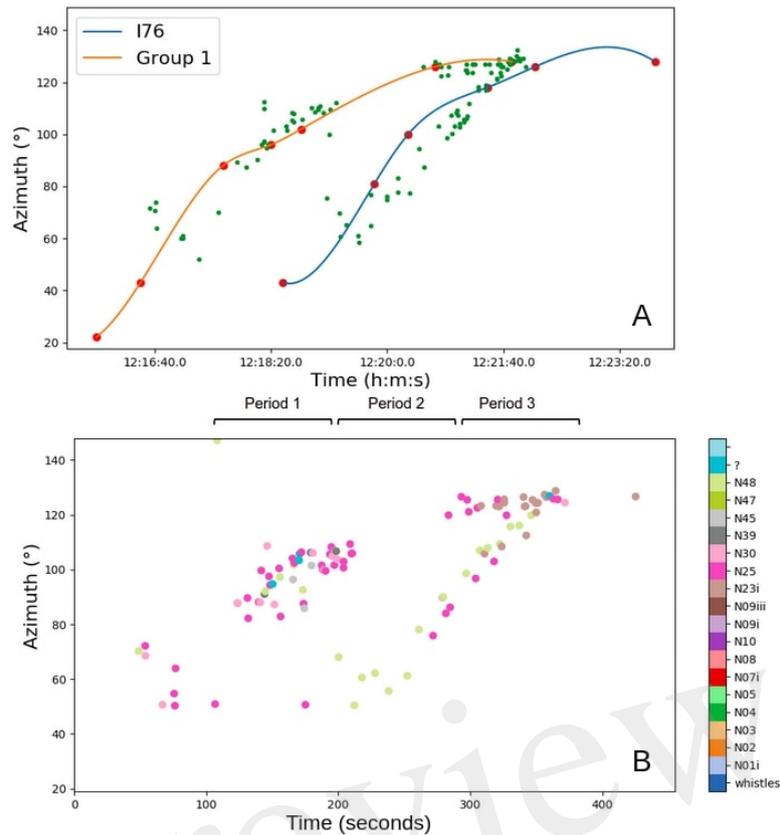


**Figure 10.** Graph of the CR of the 30<sup>st</sup> of August for each period and for the A01s (dark grey) and the A05s (light grey).

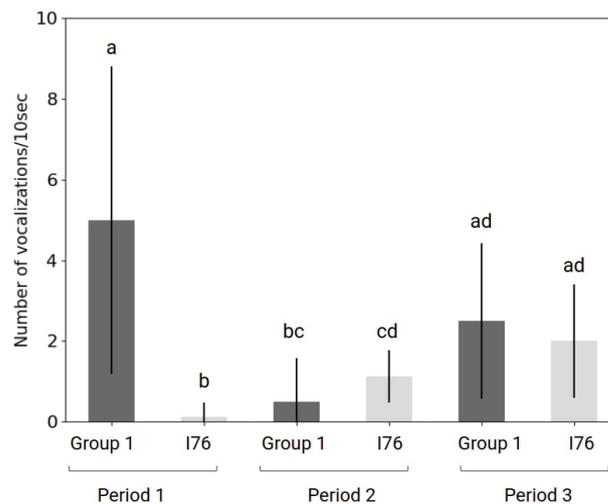


**Figure 11.** Graph of the passage of the 31<sup>st</sup> of August. Top : representation of the view of BP from OL with the visual position of the group (orange) and the male I76 (white). Bottom : pictures taken from OL.

In review



**Figure 12.** **A:** Graph of the passage of the 31<sup>st</sup> of August. Each green point represents a vocalization placed in azimuth. The blue curve is the interpolated position of the male I76 visually detected, the orange curve is the large group. **B:** Graph of the passage of the 31<sup>st</sup> of August. Each point represents a vocalization placed in azimuth. Each color represents a type of call. The most common types are N25, N48 and N23i. The track was split into three 80 s periods.



**Figure 13.** Graph of the CR of the 31<sup>st</sup> of August for each period and for the group (dark grey) and the male I76 (light grey).

Figure 1.JPEG

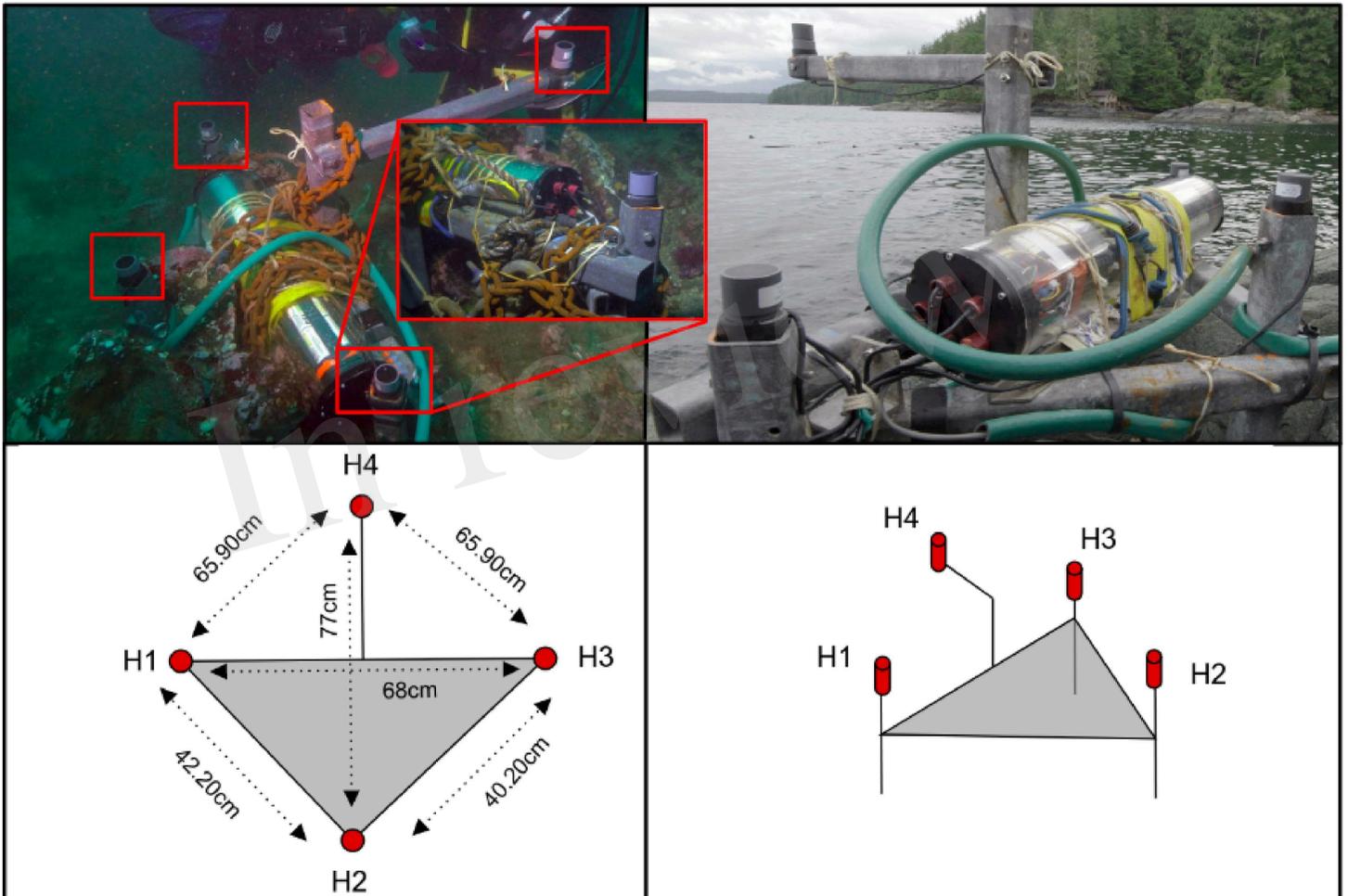


Figure 2.JPEG

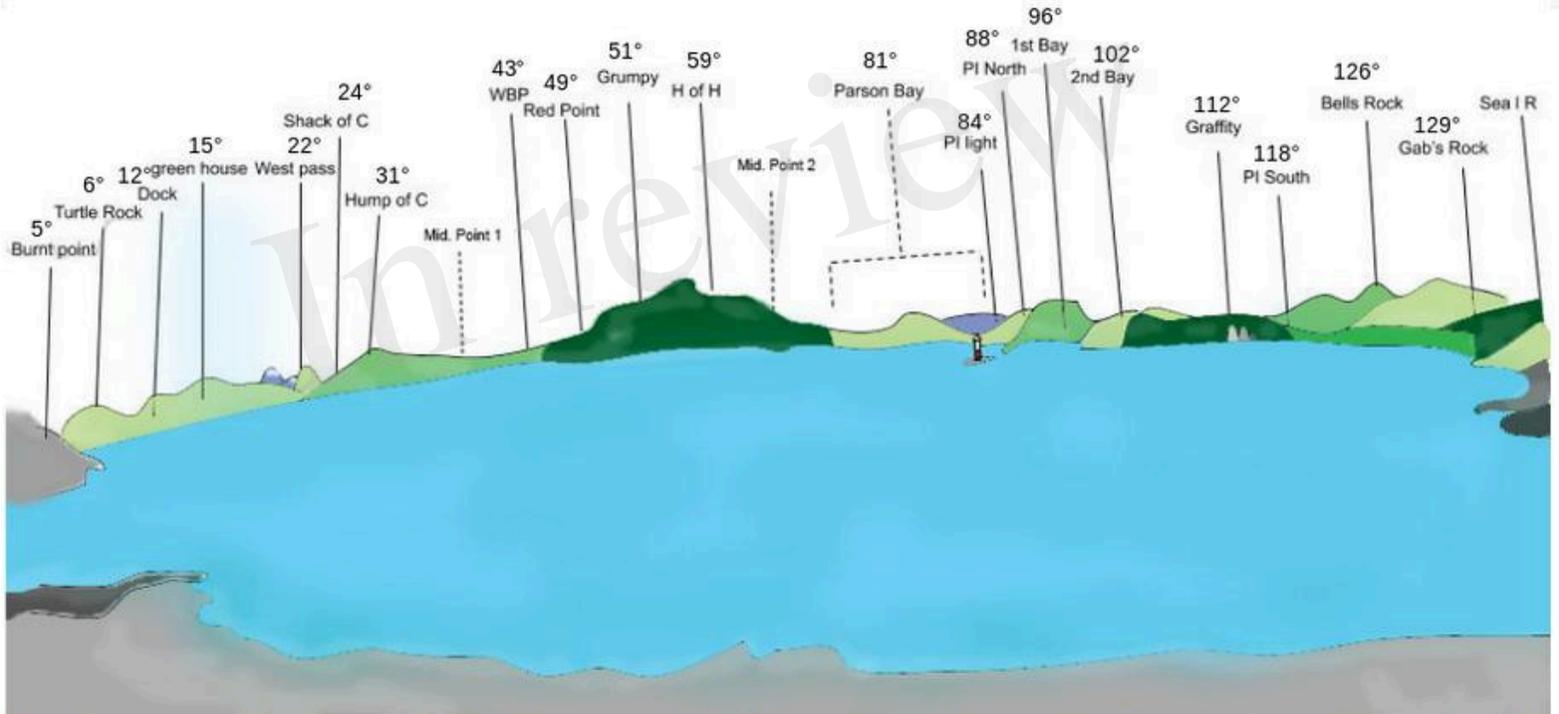


Figure 3.JPEG

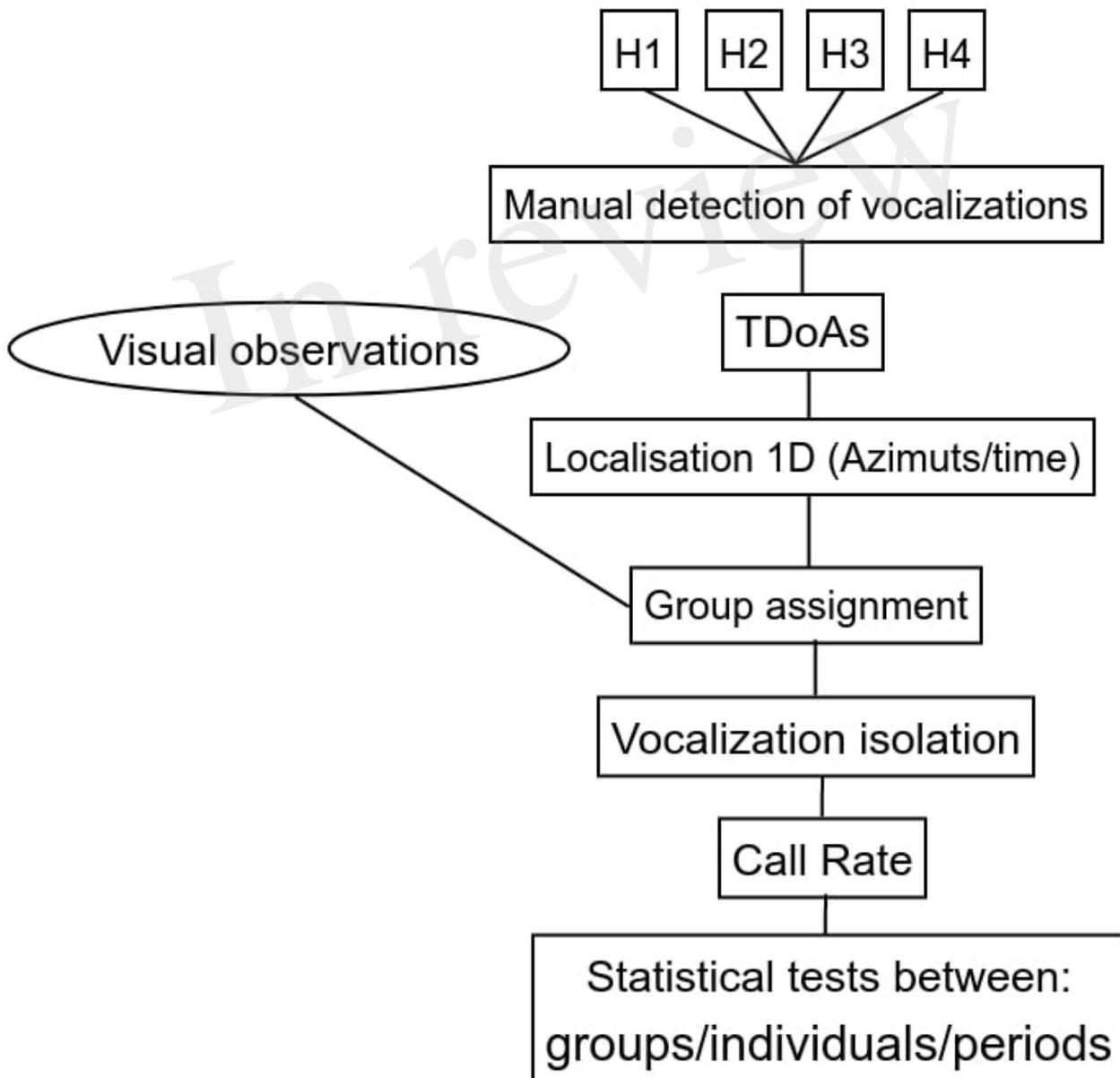


Figure 4.JPEG

In review

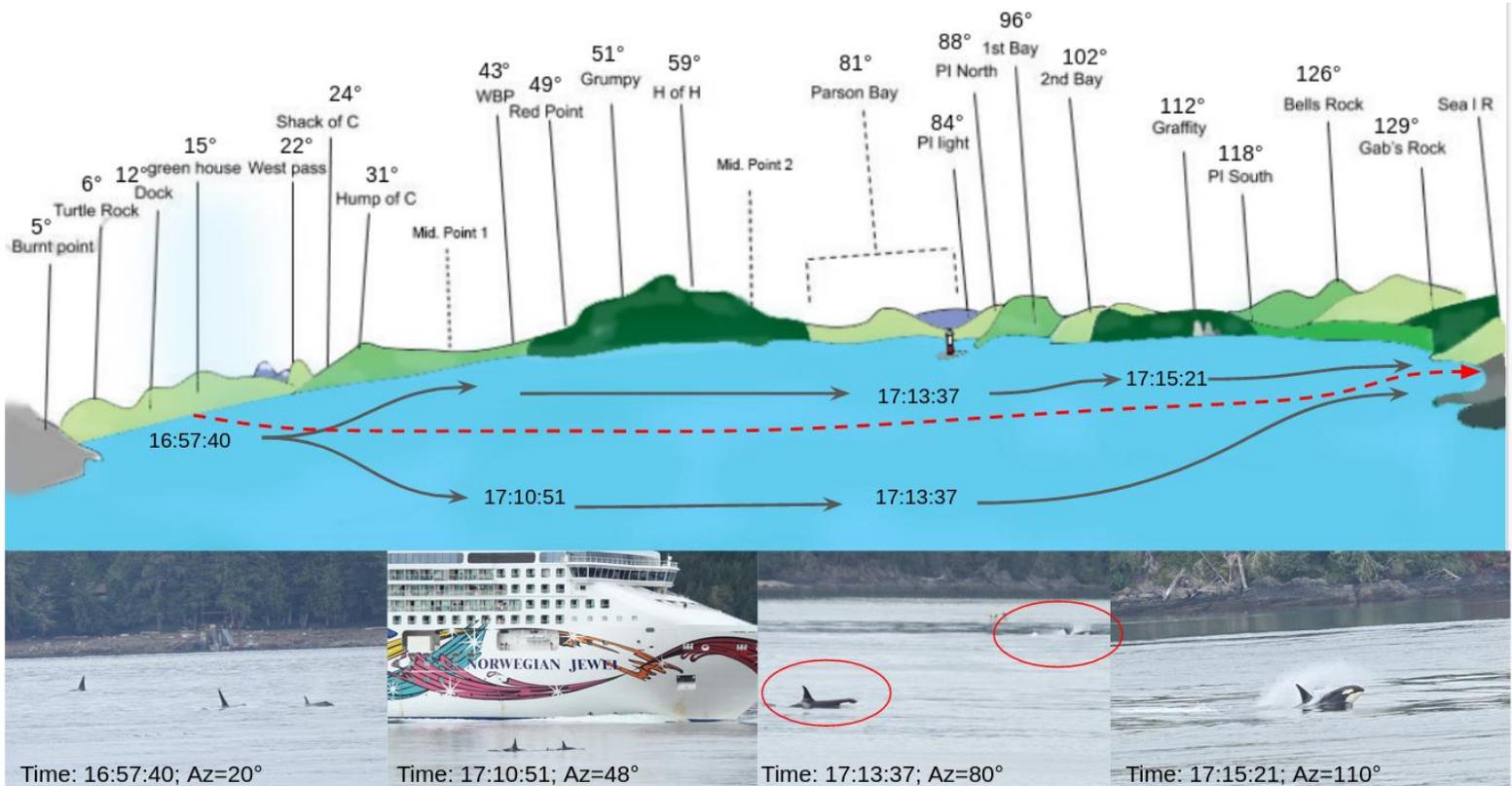


Figure 5.JPEG

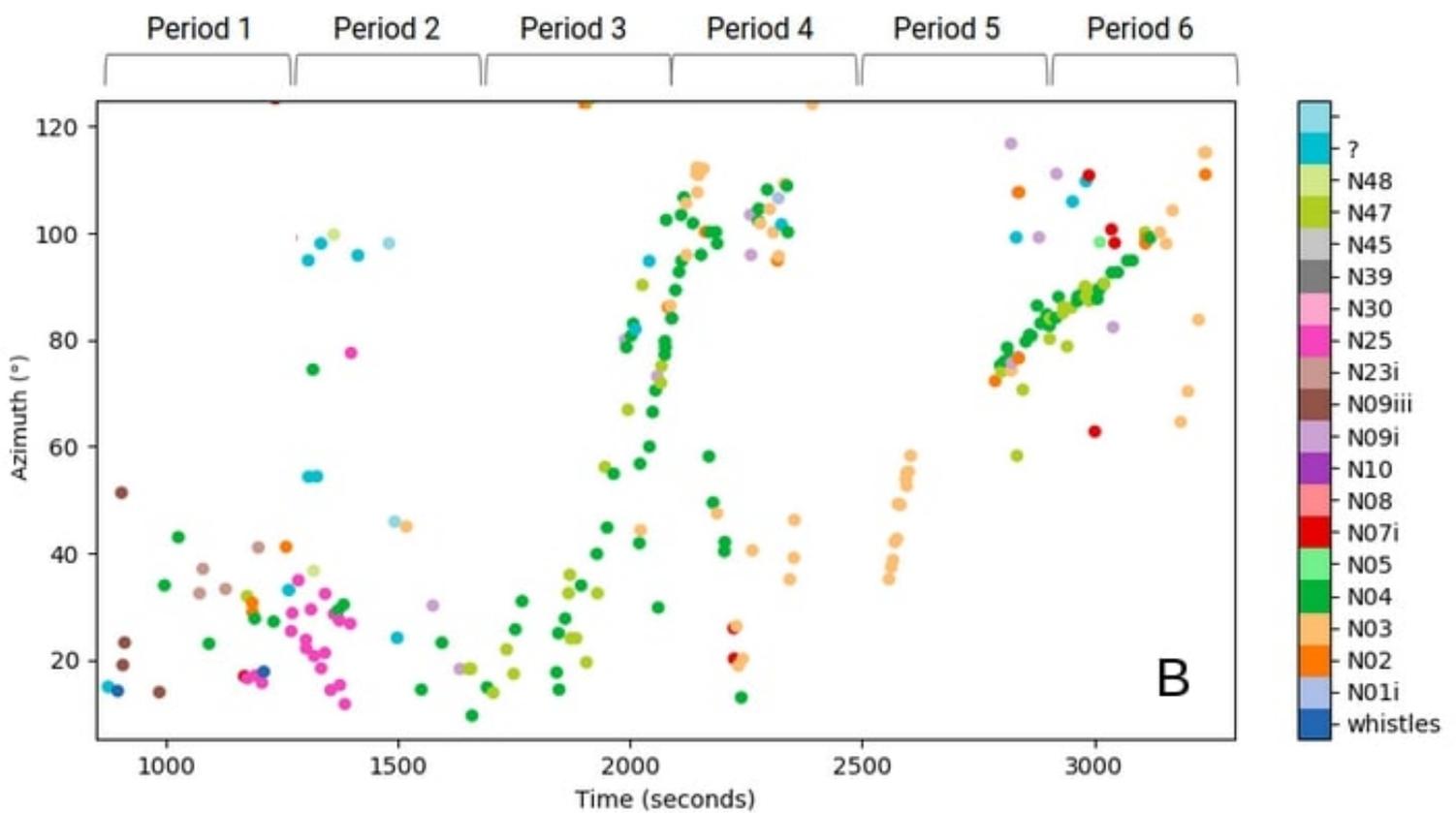
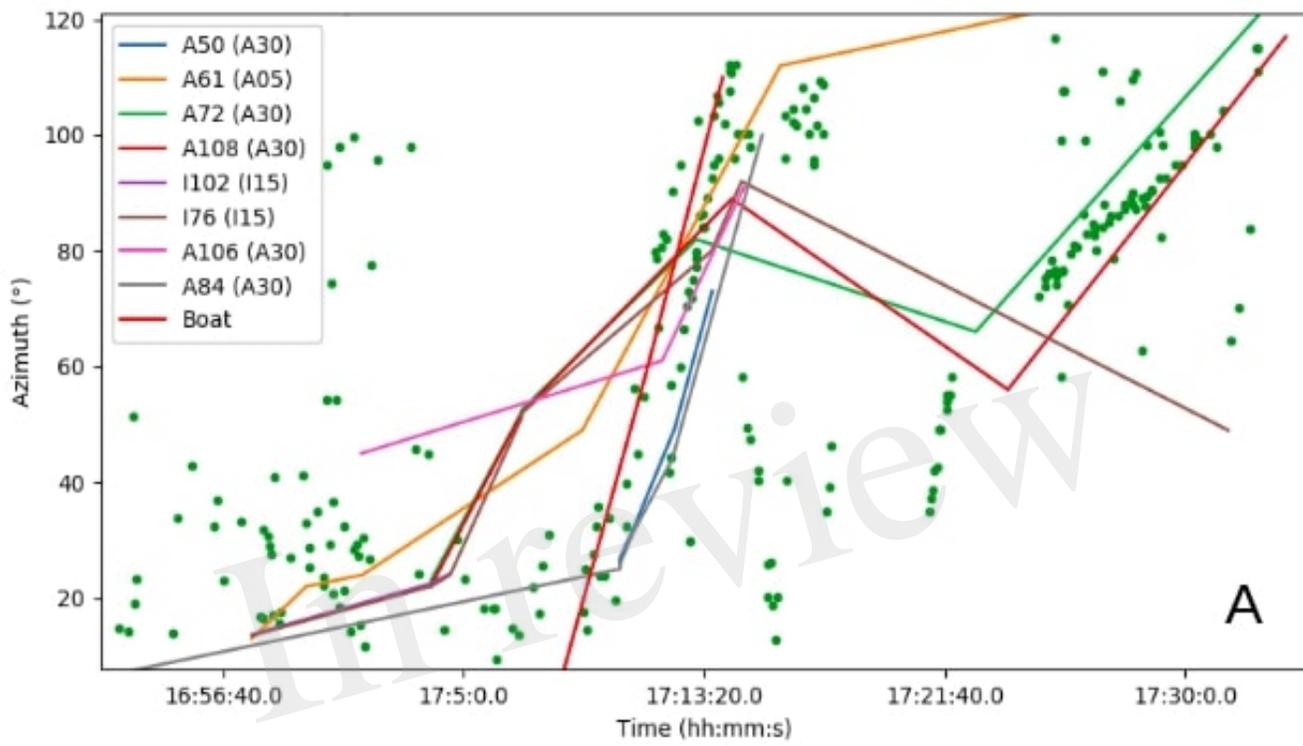


Figure 6.JPEG

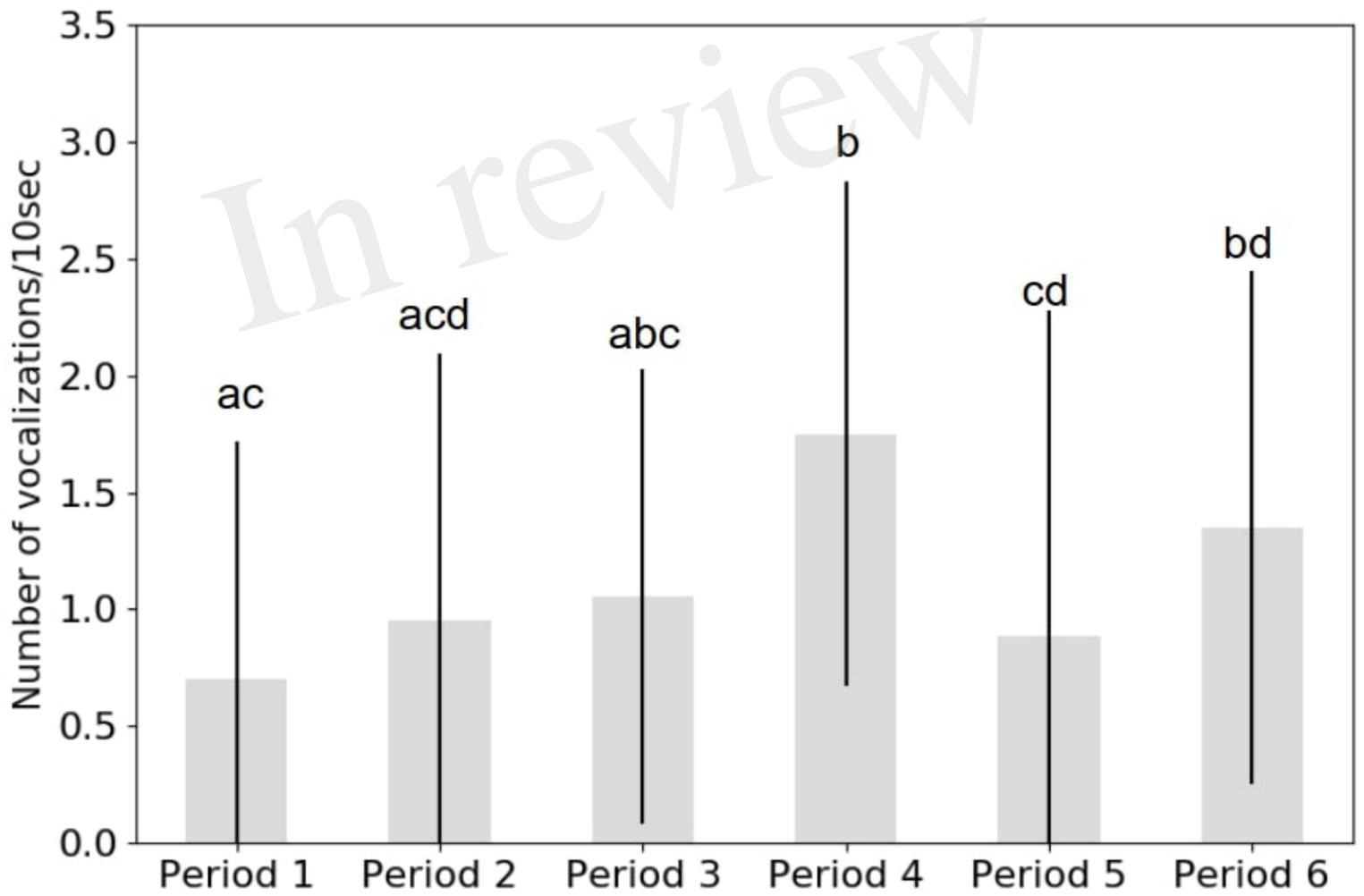


Figure 7.JPEG

In review

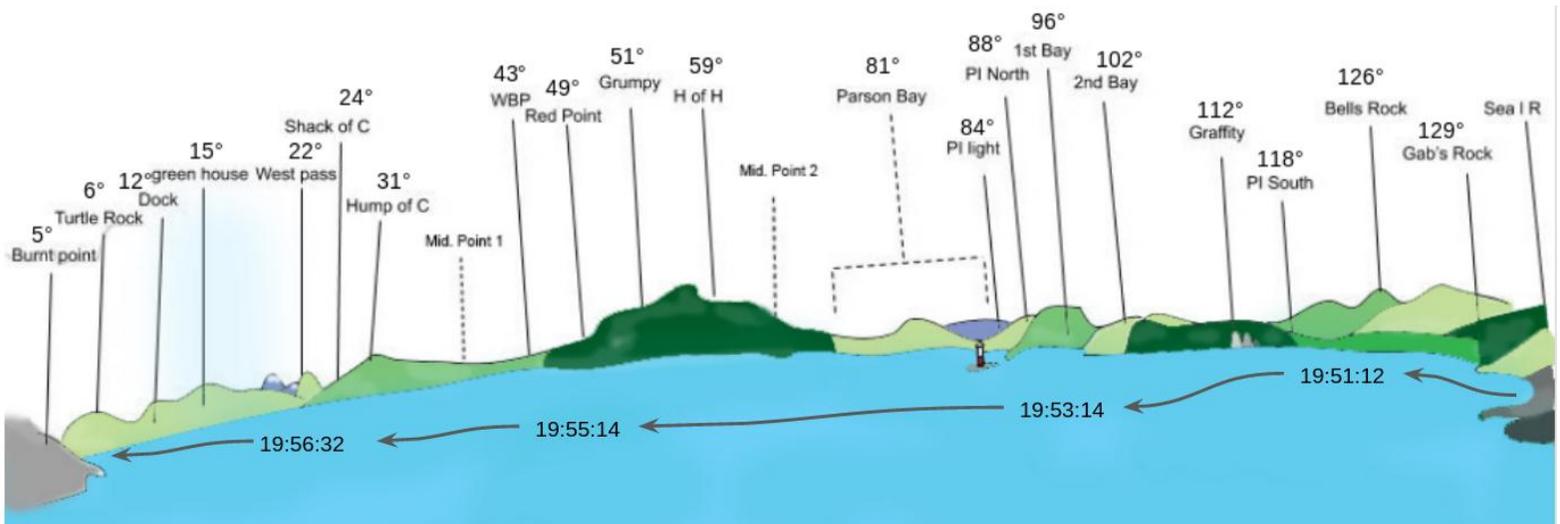


Figure 8.JPEG

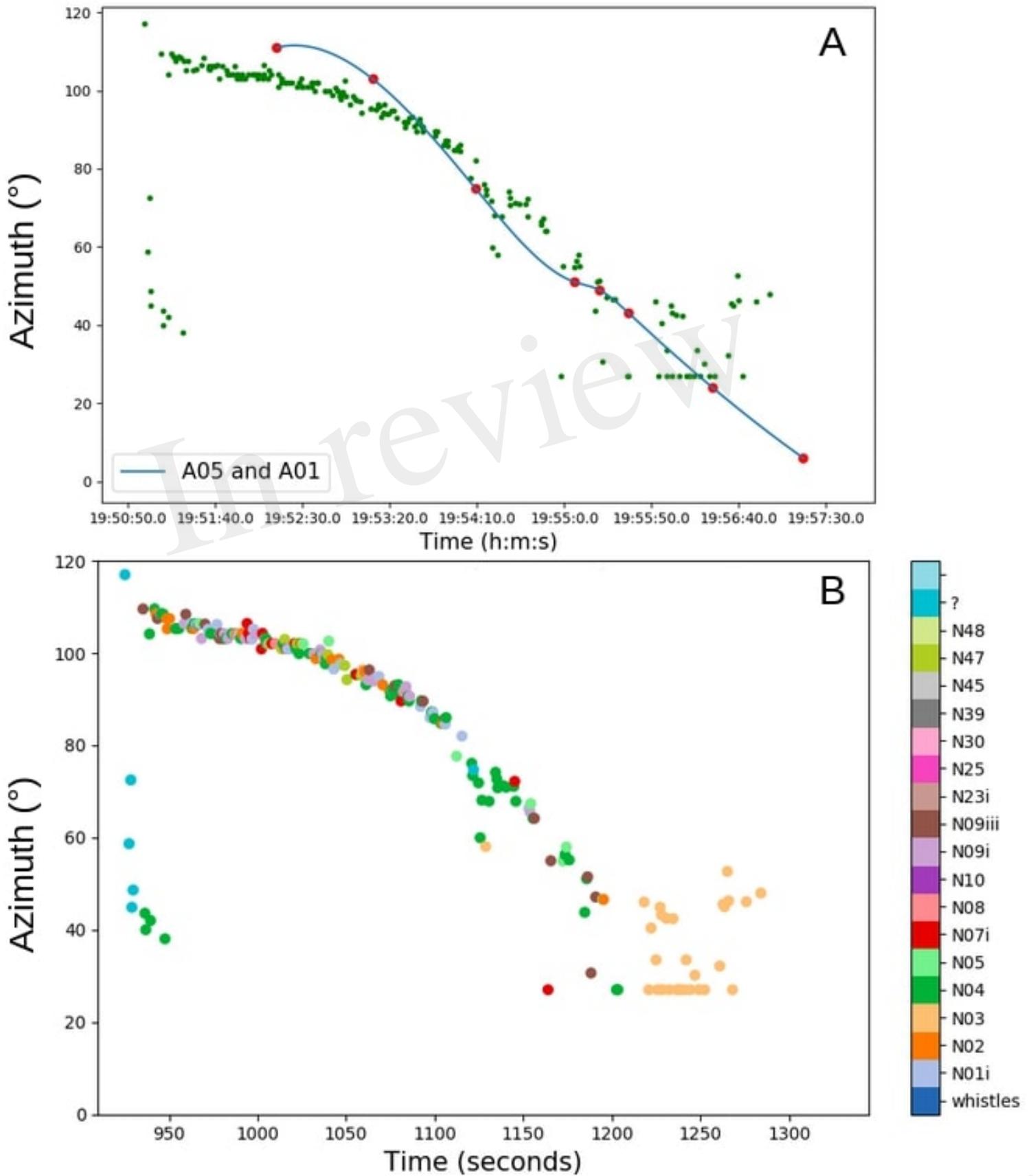


Figure 9.JPEG

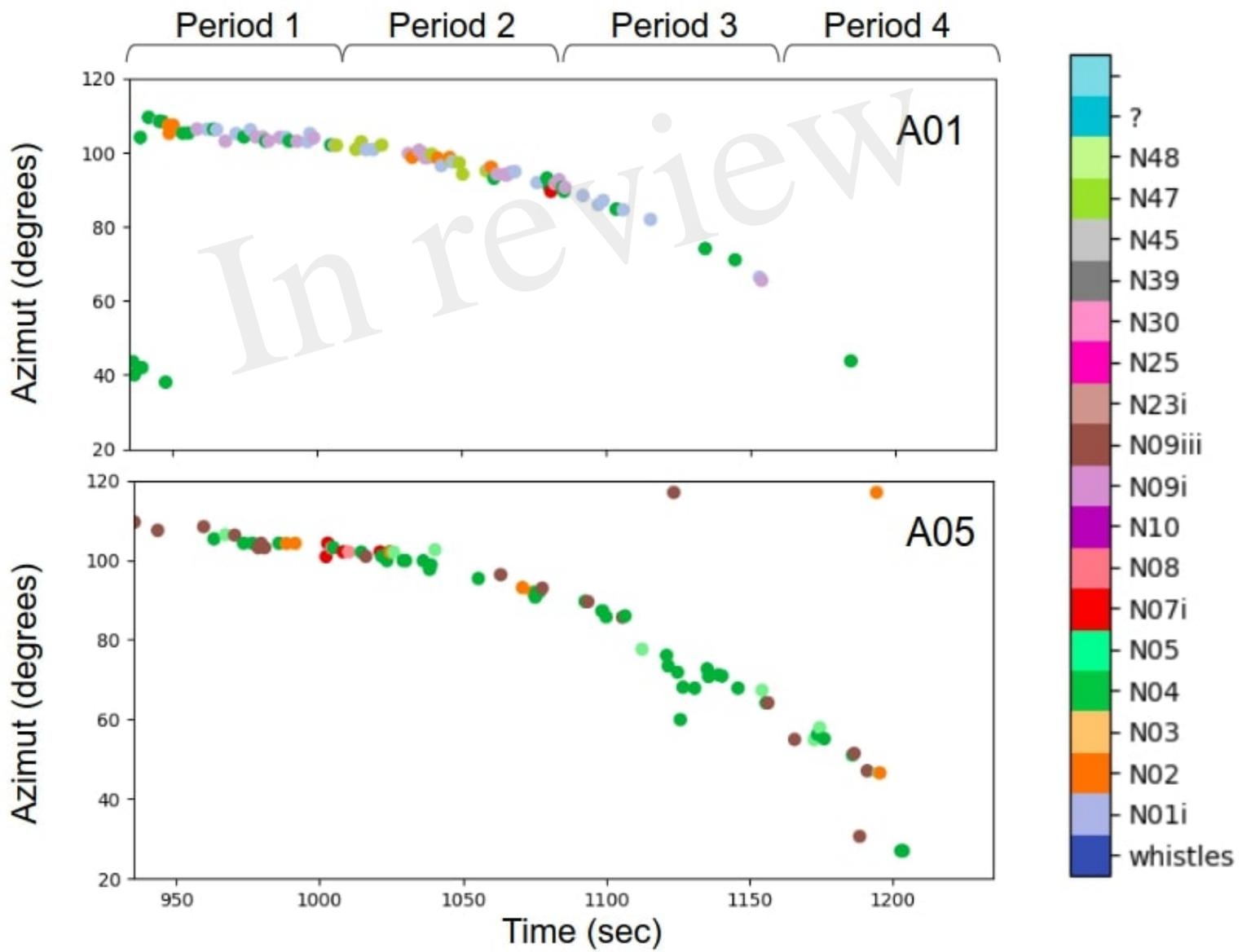


Figure 10.JPEG

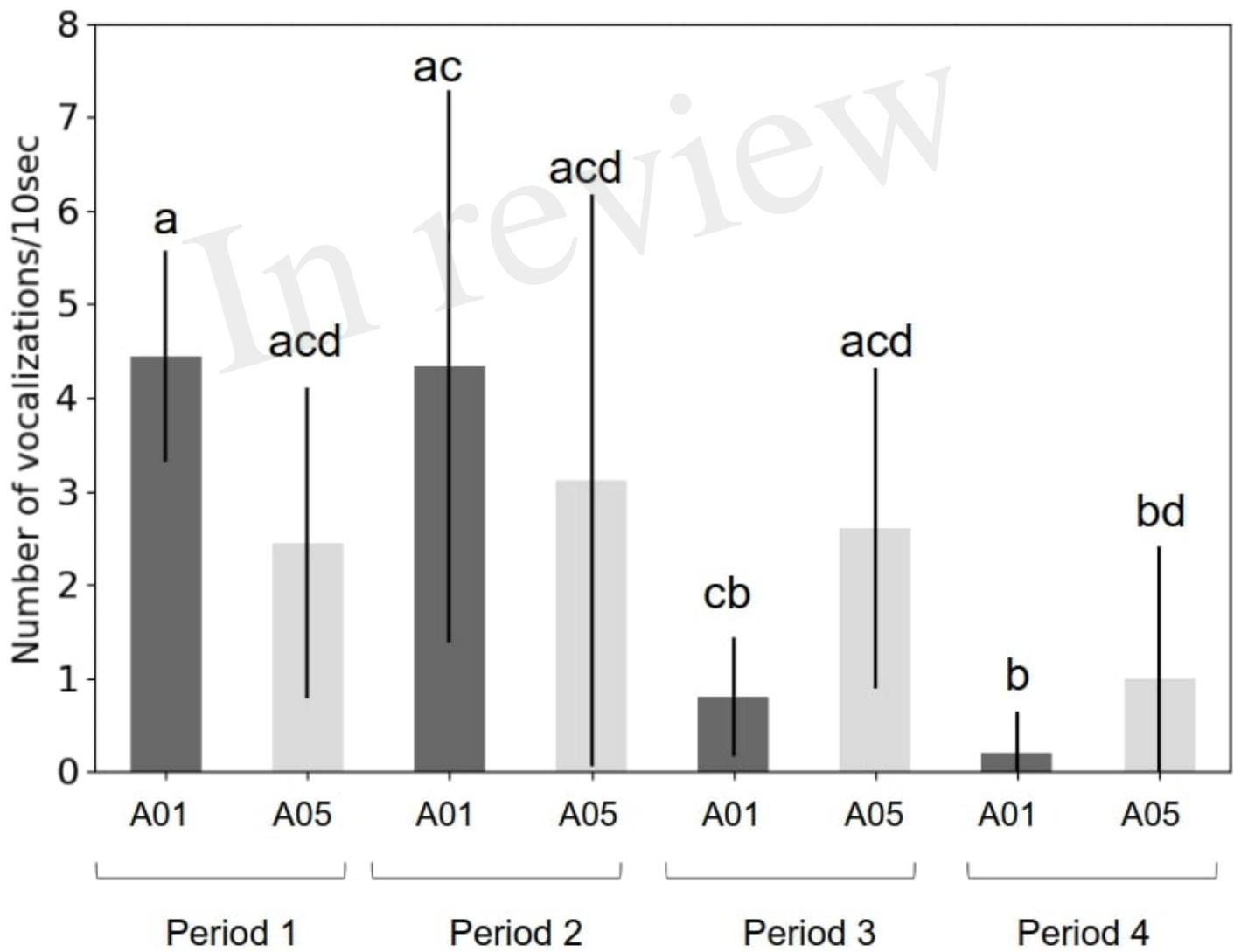


Figure 11.JPEG

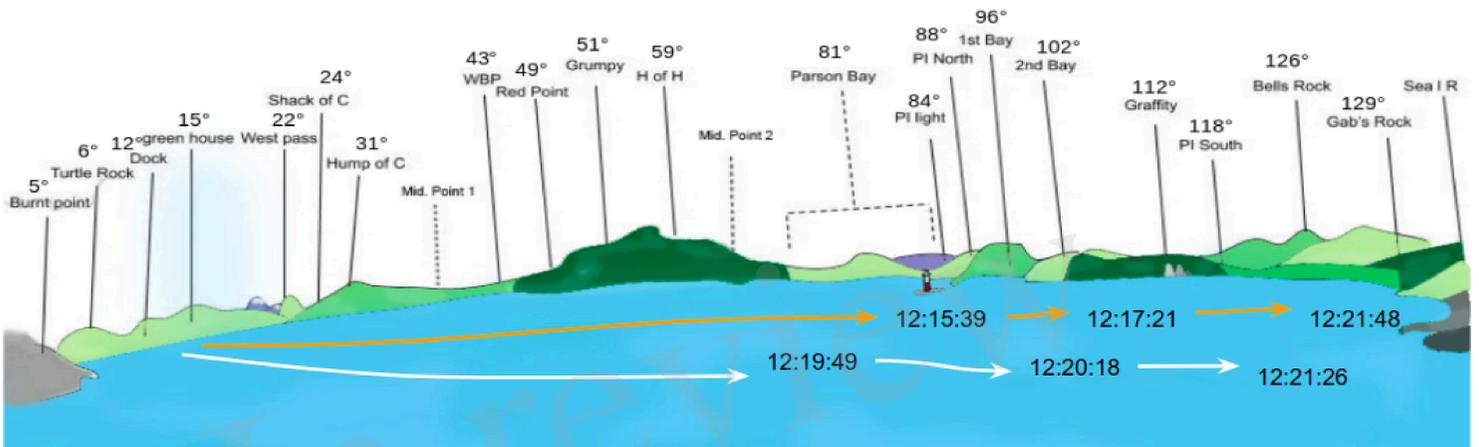
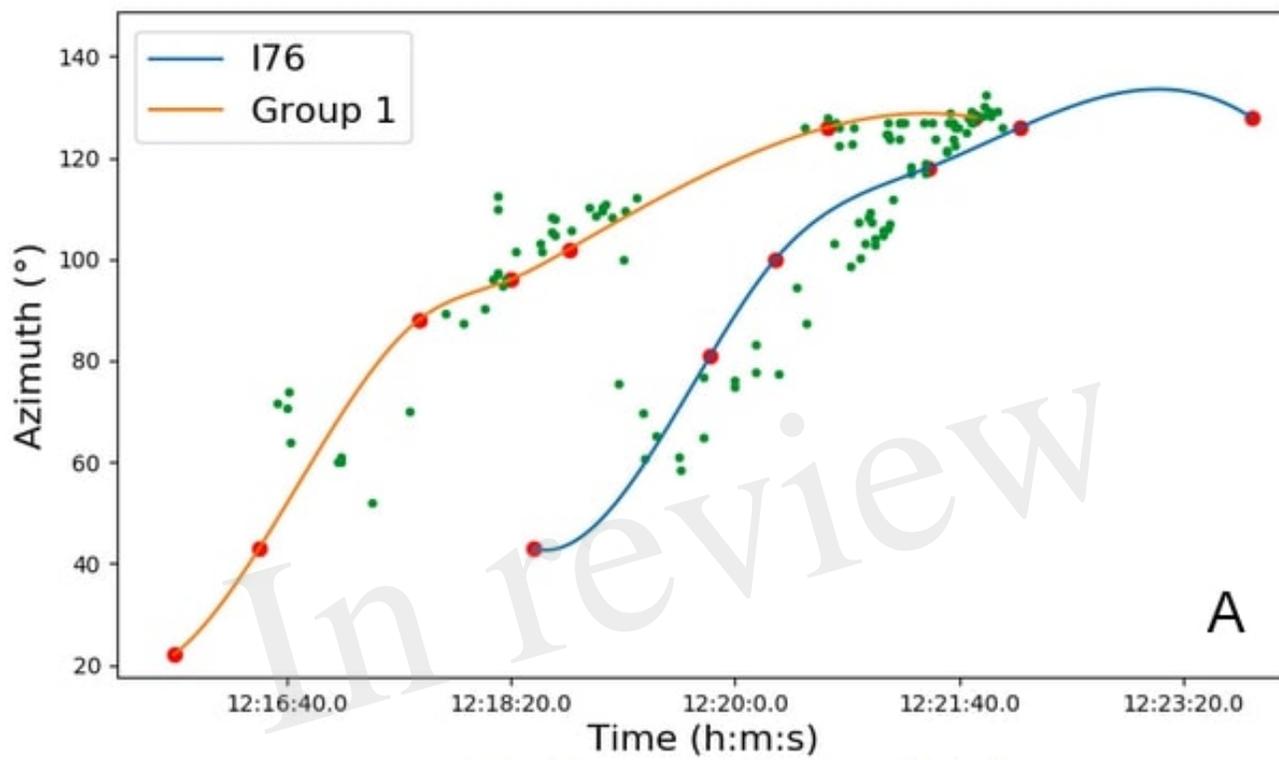
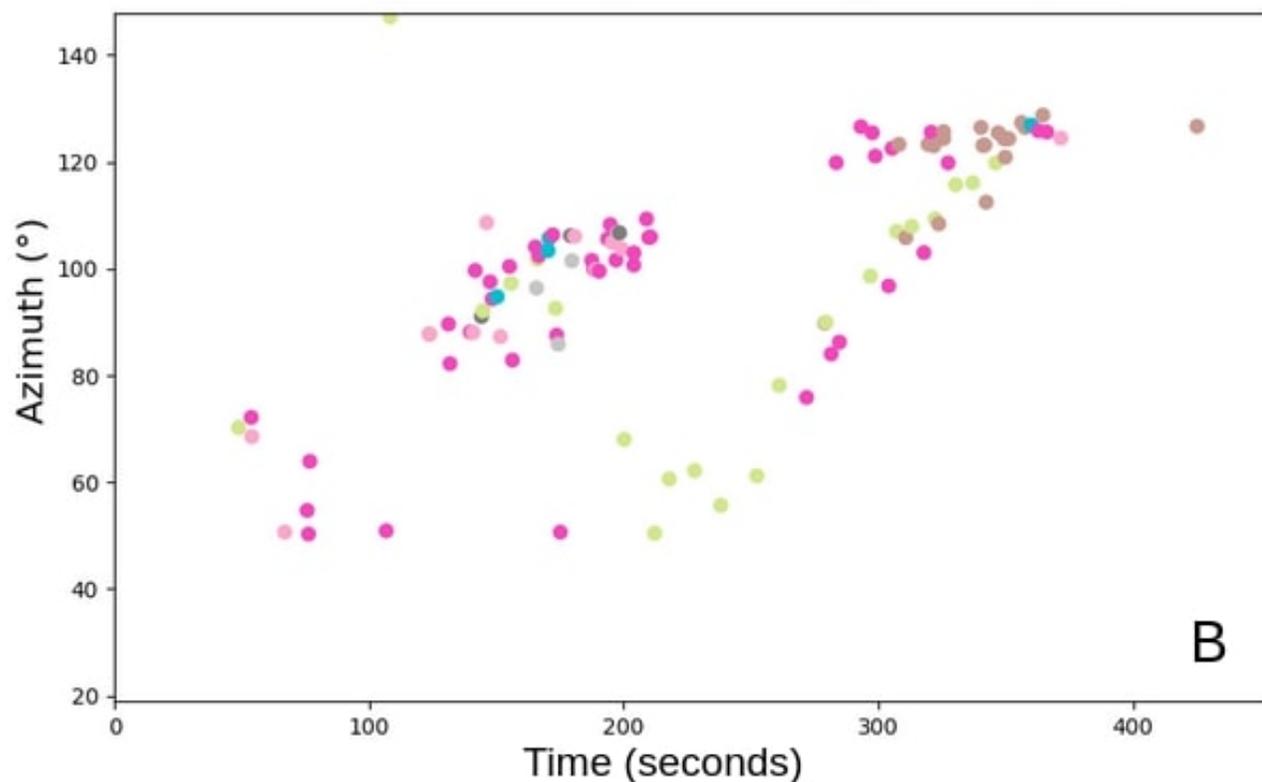


Figure 12.JPEG



A



B

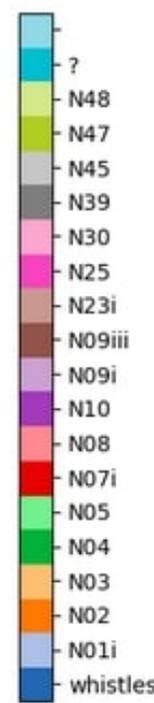


Figure 13.JPEG

